

C. DUKES SCOTT
EXECUTIVE DIRECTOR

1441 Main Street, Suite 300
Columbia, SC 29201



Phone: (803) 737-0800
Fax: (803) 737-0801

DAN F. ARNETT
CHIEF OF STAFF

lhammon@regstaff.sc.gov

C. Lessie Hammonds
Counsel for ORS

April 2, 2008

Charles L. A. Terreni, Esquire
Chief Clerk and Administrator
Public Service Commission of South Carolina
Post Office Box 11649
Columbia, South Carolina 29211

Re: Allowable Ex Parte Communication Briefing held on March 28, 2008

Dear Mr. Terreni:

Pursuant to the provisions of S.C. Code Ann. § 58-3-260 (Supp. 2007) and as Mr. Scott's designee, I am attaching my certified statement with copies of the certified statements from all persons present (see sign in sheets also attached) at the March 28, 2008 Allowable Ex Parte Communication Briefing regarding Duke Energy Carolinas' Smart Grid Initiative. The Allowable Ex Parte Communication Briefing was held at 10:30 a.m.

Please find enclosed a copy of the verbatim transcript of the briefing and accompanying presentation materials. Any written materials utilized or referenced at the briefing by any of the attendees or Commissioners are included with the exception of the reference by Duke Energy Carolinas to the Energy Independence and Security Act of 2007. As this document is voluminous I would request that you post the following website link: <http://www.govtrack.us/congress/billtext.xpd?bill=h110-6>. A summary of the video clips viewed at the briefing is enclosed as well.

It is my understanding that the transcript of the briefing will be posted on your website, and this transcript is incorporated by reference in all certified statements. The transcripts are intended to satisfy the summary requirement of § 58-3-260(C)(6)(a)(ii).

As required by law, please post all documents relating to these briefings on your website.

Thank you for your assistance.

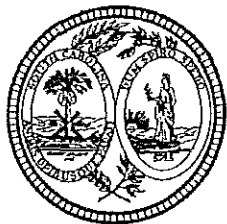
Sincerely,

C. Lessie Hammonds

Enclosures

cc: Catherine E. Heigel, Esquire (w/out enc.)

RECEIVED
2008 APR -2 PM 2:24
SC PUBLIC SERVICE
COMMISSION



Charles L.A. Terreni
Chief Clerk/Administrator

The Public Service Commission State of South Carolina

COMMISSIONERS
G. O'Neal Hamilton, Fifth District
Chairman
C. Robert Moseley, At-Large
Vice Chairman
John E. "Butch" Howard, First District
David A. Wright, Second District
Randy Mitchell, Third District
Elizabeth B. "Lib" Fleming, Fourth District
Mignon L. Clyburn, Sixth District

Phone: (803) 896-5133
Fax: (803) 896-5246
Charles.Terreni@psc.sc.gov

March 31, 2008

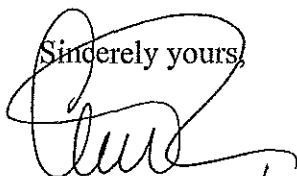
C. Lessie Hammonds, Esquire
Office of Regulatory Staff
Post Office Box 11263
Columbia, South Carolina 29211

Dear Ms. Hammonds:

Please find enclosed the sign-in sheets, the signed Certified Statements from the participants, and a copy of the Transcript from the Allowable Ex Parte Communication Briefing held at the Public Service Commission on Friday, March 28, 2008 at 10:30 a.m.

Please let me know if you should require any additional information.

With best wishes, I am,

Sincerely yours,

Charles L.A. Terreni

Enclosures:



DUKE ENERGY CAROLINAS, LLC
526 South Church St.
Charlotte, NC 28202
Mailing Address:
EC03T / PO Box 1006
Charlotte, NC 28201-1006

CATHERINE E. HEIGEL
Assistant General Counsel
704.382.8123 OFFICE
704.382.5690 FAX
ceheigel@duke-energy.com

March 14, 2008

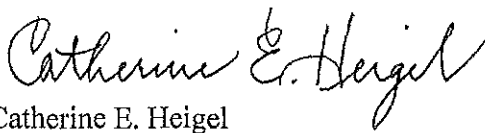
Charles L. A. Terreni, Esquire
Chief Clerk and Administrator
Public Service Commission of South Carolina
Post Office Box 11649
Columbia, South Carolina 29211

Re: Posting Notice for Allowable Ex Parte Communication Briefing

Dear Mr. Terreni:

Pursuant to S.C. Code Ann. Section 58-9-260(C)(6)(a)(v)-(vii), Duke Energy Carolinas, LLC (the "Company") respectfully requests that the Public Service Commission of South Carolina post the attached "Notice of Request for Allowable Ex Parte Briefing" on its website no later than March 20, 2008. The Company has confirmed that the Commissioners and Commission employees identified in the attached Notice, as well as the Executive Director of the Office of Regulatory Staff are available and willing to attend the Briefing as described in the attached Notice.

Sincerely,


Catherine E. Heigel

Attachment

cc: L. Hammonds, Office of Regulatory Staff
F. Ellerbe, Robinson McFadden & Moore

NOTICE OF REQUEST FOR ALLOWABLE EX PARTE BRIEFING

Pursuant to S.C. Code Ann. Section 58-9-260(C)(6)(a)(v)-(vii), the Public Service Commission of South Carolina hereby provides the following notice of a request for an allowable ex parte communication briefing:

Person or Party Requesting the Briefing:

Duke Energy Carolinas, LLC

Date and Time of the Proposed Briefing:

March 28, 2008; 10:30 AM

Commissioners and Commission Employees
Requested to Attend Briefing:

Commissioners:

**G. O'Neal Hamilton, Chairman
C. Robert Moseley, Vice Chairman
John E. Howard
David A. Wright
Randy Mitchell
Elizabeth B. Fleming
Mignon L. Clyburn**

Commission Employees:

**Charles L. Terreni, Chief Clerk
Joseph Melchers, Chief Counsel
Jocelyn Boyd, Deputy Clerk**

Subject Matter to Be Discussed at Briefing:

**Duke Energy Carolinas' Smart
Grid Initiative**

Commission Docket No.:

Not Applicable

Allowable *Ex Parte* Communication Briefing
Duke Energy Carolinas, LLC
Briefing regarding Duke Energy Carolinas' Smart Grid Initiative

Public Service Commission of South Carolina Hearing Room
Synergy Business Park
101 Executive Center Drive
Columbia, South Carolina 29210

Friday, March 28, 2008 at 10:30 a.m.

(PLEASE PRINT)

NAME	ADDRESS	ORGANIZATION	EMAIL ADDRESS	PHONE NO.
✓✓ Nancy Forsley	Charlotte, NC	Duke Energy	njhorsle@duke-energy.com	704-382-5970
✓✓ Catherine Heigel	Charlotte, NC	" "	ceheigel@duke-energy.com	704-382-8123
✓✓ Diane Denton	Charlotte, NC	" "	ddenton@duke-energy.com	704-382-8598
✓✓ Rob Manning	Charlotte, NC	" "	remanni@dukeenergy.com	704-382-2814
✓✓ MARY WALSH	COLUMBIA, SC	PROGRESS ENERGY	WALSHCONSULTINGLLC @S.C. P.R. COM	803-767-8353
✓✓ John Flitter	Columbia, SC	ORS	jflitter@regstaff.sc.gov	737-0811

Allowable *Ex Parte* Communication Briefing
Duke Energy Carolinas, LLC
Briefing regarding Duke Energy Carolinas' Smart Grid Initiative

Public Service Commission of South Carolina Hearing Room
Synergy Business Park
101 Executive Center Drive
Columbia, South Carolina 29210

Friday, March 28, 2008 at 10:30 a.m.

(PLEASE PRINT)

NAME	ADDRESS	ORGANIZATION	EMAIL ADDRESS	PHONE NO.
✓✓ Barbara Farbrogh ✓		Duke Energy		
✓✓ Byron R. Dong ✓		PSC		
✓✓ Frank Ellerba ✓		RMM		
✓✓ Duke Scott ✓		OLS		
✓✓ Lora Nichols ✓		Duke Energy		
✓✓ Phil Riley ✓		PSC SC		

Allowable *Ex Parte* Communication Briefing
Duke Energy Carolinas, LLC
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Friday, March 28, 2008 at 10:30 a.m.

(PLEASE PRINT)

NAME	ADDRESS	ORGANIZATION	EMAIL ADDRESS	PHONE NO.
✓✓ Anthony James ✓	441 Main St Columbia, SC 29201	ORS	ALJAMES@REGSTAFF SC.GOV	737. 2090
✓ C. Lessie Hammonds ✓	" "	"	lhammon@regstaff. sc.gov	7- 0803
✓✓ Bruce Meyer ✓	619 B AVE	MEYER MECH	bruce0408@BellSouth ATL	606- 8626
✓✓ KRISTA MEYER ✓	" "	"	"	" "
✓✓ Carol Shrum ✓	526 S. Church St.	Duke Energy	cshrum@duke-energy. com	704-382- 9826
✓✓ Matt Smith ✓	" "	" "	Matthew.Smith@duke- energy.com	704 382 7578

Allowable *Ex Parte* Communication Briefing
Duke Energy Carolinas, LLC
Briefing regarding Duke Energy Carolinas' Smart Grid Initiative

Public Service Commission of South Carolina Hearing Room
Synergy Business Park
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Columbia, South Carolina 29210

Friday, March 28, 2008 at 10:30 a.m.

(PLEASE PRINT)

NAME	ADDRESS	ORGANIZATION	EMAIL ADDRESS	PHONE NO.
✓ Shannon Hudson ✓		ORS		
✓ RANDY WATTS ✓		ORS		
✓ James Speatman ✓		PSC		
✓✓ Patsy Searls ✓		PSC		
✓ Jocelyn Boyd ✓		PSC		
✓✓ JO Wheat ✓		PSC		

Allowable *Ex Parte* Communication Briefing
Duke Energy Carolinas, LLC
Briefing regarding Duke Energy Carolinas' Smart Grid Initiative

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Friday, March 28, 2008 at 10:30 a.m.

(PLEASE PRINT)

	NAME	ADDRESS	ORGANIZATION	EMAIL ADDRESS	PHONE NO.
✓	Joseph Melcher ✓		PSC		
✓	Bob Moseley ✓				
✓	Randy Mitchell ✓				
✓	Lib Fleming ✓				
✓	O'Neal Hamilton ✓				
✓	Butch Howard ✓				

Form #4
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

RECEIVED
2008 APR -2 PM 2:28
SC PUBLIC SERVICE
COMMISSION

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

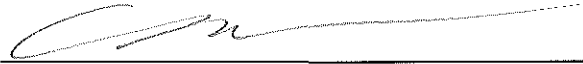
Name: <i>C. Dubas Scott</i>	Date of Meeting: 3-28-08
Occupation: <i>ORS</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>ORS</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.

A handwritten signature in black ink, consisting of a series of loops and strokes, positioned above a horizontal line.

Signature of Briefing Attendee

Date: 3-28-08

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)**

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Frank Ellerbe</i>	Date of Meeting: 3-28-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy Carolinas</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>Attached.</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: 3-28-08

Form #4

**ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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(Attendee)**

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Name: <i>Barbara Yarbrough</i>	Date of Meeting: 3-28-08
Occupation: <i>Rate Director</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.: <i>EX PARTE Briefing</i>

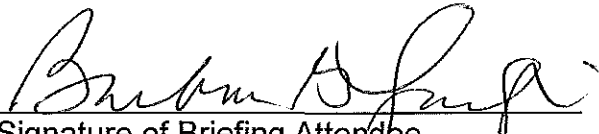
By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>See transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>Lara S. Nichols</i>	Date of Meeting: 3-28-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy Carolinas</i>	Docket No.: <i>N/A</i>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
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<i>See attached transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>Nancy Horsley</i>	Date of Meeting: 3-28-08
Occupation: <i>Rates & Regulatory Consultant</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

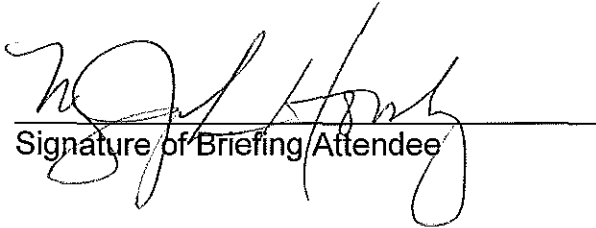
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<i>Please see transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>GARY E WALSH</i>	Date of Meeting: 3-28-08
Occupation: <i>CONSULTANT</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>PROGRESS ENERGY CAROLINAS</i>	Docket No.: <i>N/A</i>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>Briefed the Comm on Energy Independence Act of 2007 & gave me</i>
<i>overview of Smart Grid. Gave overview of activity in</i>
<i>other states. Overview of Benefits of Smart Grid.</i>
<i>Overview of current pilot programs in NC & SC.</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: 3-28-08

Form #5
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

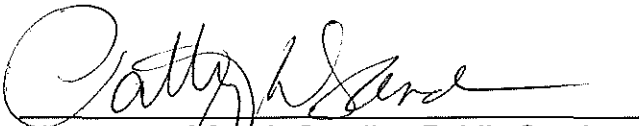
Name: <i>Patty Sands</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Admin Coord.</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

Form #4
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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THIS CERTIFICATION IS TO:

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: Carol Shrum	Date of Meeting: 3-28-08
Occupation: DE Carolinas Rates	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: Duke Energy	Docket No.:


By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

Please see transcript

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: 3-28-08

Form #5
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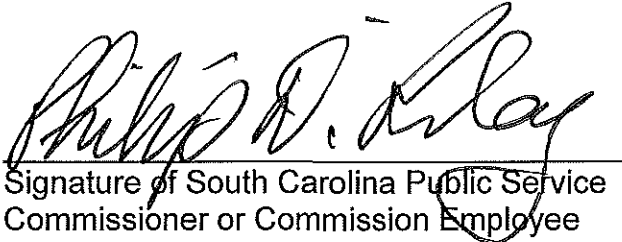
Name: <i>Phil Riley</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Energy Advisory Engineer IV</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.


Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

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- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

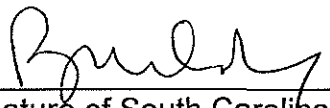
Name: <i>Byron R. Dong</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Staff Counsel</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

Form #4
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Diane V. Denton</i>	Date of Meeting: 3-28-08
Occupation: <i>Director, Regulatory Strategy</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.:

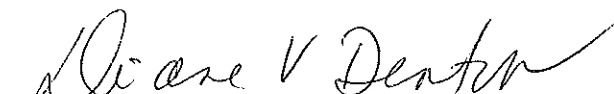
By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>See transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: 3-28-08

Form #4

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>Matthew Smith</i>	Date of Meeting: 3-28-08
Occupation: <i>Attorney / Technology Dev</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy</i>	Docket No.: <i>N/A</i>


By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>See Transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>John Fitter</i>	Date of Meeting: 3-28-08
Occupation:	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>ORS</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

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Name: <i>ANTHONY JAMES</i>	Date of Meeting: 3-28-08
Occupation: <i>OPS ELECTRIC DEPT.</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>OPS</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.

A handwritten signature in black ink, consisting of several loops and a long horizontal stroke, positioned above a horizontal line.

Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>Shannon Hudson</i>	Date of Meeting: 3-28-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>ORS</i>	Docket No.: <i>N/A</i>

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>Energy Independence + Security Act of 2007</i>
<i>SmartWorld Technology</i>
<i>(See transcript of briefing)</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

presentation to be attached

This concludes my Certified Statement.

Thomas H. Hester

Signature of Briefing Attendee

Date: 3-28-08

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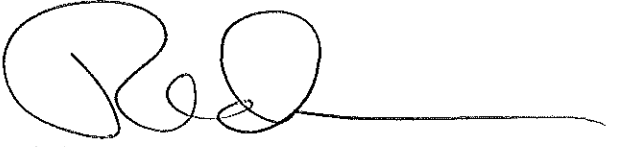
Name: <i>Rob Manning</i>	Date of Meeting: 3-28-08
Occupation: <i>Engineering Mgt</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on/belief of/for: <i>Duke Energy</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.

A handwritten signature in black ink, consisting of a large, stylized 'R' followed by a smaller 'Q' and a long horizontal line extending to the right.

Signature of Briefing Attendee

Date: 3-28-08

Form #4
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>RANDY WATTS</i>	Date of Meeting: 3-28-08
Occupation: <i>MANAGER- ELEC REG</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>ORS</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.



Signature of Briefing Attendee

Date: 3-28-08

ALLOWABLE EX PARTE COMMUNICATION BRIEFING CERTIFIED STATEMENT

THIS CERTIFICATION IS TO:

- BE SIGNED BY EXECUTIVE DIRECTOR OR HIS DESIGNEE, AND
- BE FILED WITH THE CHIEF CLERK OF THE PUBLIC SERVICE COMMISSION
WITHIN SEVENTY-TWO HOURS OF THIS BRIEFING.

Name: <i>C. Lessie Hammonds</i>	Date: <i>03/28/08</i>
ORS Position Title: <i>Attorney / ORS</i>	Matter: <i>DEC's Smart Grid Initiative</i>
	Docket No.:

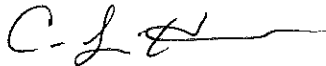
By signing this Certification, I certify that:

1. The briefing was conducted in compliance with the provisions of S.C. Code Ann. §58-3-260(C)(6).
2. EACH PERSON present at the briefing complied with the reporting and certification requirements of (ii), (iii), and (iv) within 48 hours after the briefing.
 - a. The subsection (ii) and (iii) requirements are that EACH ATTENDEE INCLUDING EACH COMMISSIONER AND EACH COMMISSION EMPLOYEE is to file a certification with me:
 - i. That accurately summarizes the discussions occurring during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
 - ii. With copies attached of any written materials utilized, referenced or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
 - iii. That no commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
 - b. The subsection (iv) requirement is that EACH COMMISSIONER AND EACH COMMISSION EMPLOYEE present at the briefing file a certification that they will comply with State law requiring them to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can

reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

3. Copies of all certified statements and all other matters filed with me by briefing attendees pursuant to(C)(6)(a)(ii), (iii) and (iv) are attached to this certification.
4. Persons and matters not in compliance with S.C. Code Ann. §58-3-260(C)(6) are listed in the lines below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. I further certify that if the lines are blank that all attendees or matters for this briefing are in compliance.

This concludes my Certified Statement.



Signature of Office of Regulatory Staff
Executive Director or Designee

Form #4
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Attendee)

THIS CERTIFICATION IS TO:

- BE SIGNED BY **EACH** BRIEFING ATTENDEE **EXCEPT** COMMISSIONERS AND PUBLIC SERVICE COMMISSION EMPLOYEES, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>BRUCE MEYER</i>	Date of Meeting: 3-28-08
Occupation: <i>MECH. CONTRACTOR</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>SELF</i>	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [§58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

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Name: <i>Catherine Heigel</i>	Date of Meeting: 3-28-08
Occupation: <i>Attorney</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>Duke Energy Carolinas</i>	Docket No.: <i>N/A</i>

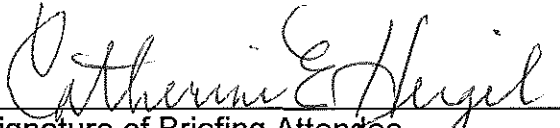
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2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [§58-3-260(C)(6)(a)(ii)]

<i>See transcript.</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

This concludes my Certified Statement.


Signature of Briefing Attendee

Date: 3-28-08

Form #5
ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY EACH COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS OF THIS BRIEFING.**

Name: <i>James E. Spearman</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Executive Assistant</i> <i>&</i> <i>Sr. Technical Advisor</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

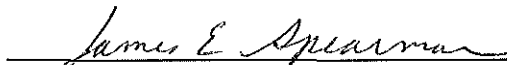
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<i>see attachments</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
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This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

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Name: <i>KRISTA MEYER</i>	Date of Meeting: 3-28-08
Occupation: <i>ACCT.</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
Attending on behalf of/for: <i>SELF</i>	Docket No.:

By signing this Certification, I certify that:

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3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [§58-3-260(C)(6)(a)(ii)]

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Signature of Briefing Attendee

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Name: <i>To Wheat</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Court Reporter</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

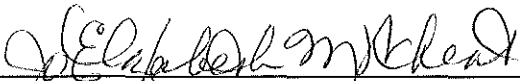
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<i>See transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
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This concludes my Certified Statement.



Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

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- BE FILED WITH THE OFFICE OF REGULATORY STAFF [1441 MAIN STREET, COLUMBIA, SOUTH CAROLINA 29201] **WITHIN FORTY-EIGHT HOURS** OF THIS BRIEFING.

Name: <i>Joseph Melchers</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Chief Counsel</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.: <i>N/A</i>

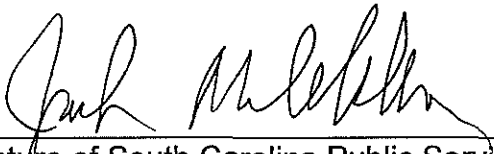
By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
2. I have accurately summarized the discussions occurring during the briefing in full either in the space below or on an attached sheet. If a sheet is attached, it is noted as being attached on the lines below. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]

<i>See transcript</i>

3. I have attached copies of any written materials utilized, referenced, or distributed during the briefing. [S.C. Code Ann. §58-3-260(C)(6)(a)(ii)]
4. I will comply with State law requiring me to grant to every other party or person requesting an allowable ex parte communication briefing on the same or similar matter that is or can reasonably be expected to become an issue in a proceeding, similar access and a reasonable opportunity to communicate, directly or indirectly, regarding any fact, law, or other matter that is or can reasonably be expected to become an issue in a proceeding under the provisions of subsection S.C. Code Ann. §58-3-260(C)(6). [S.C. Code Ann. §58-3-260(C)(6)(a)(iv)]

This concludes my Certified Statement.

A handwritten signature in black ink, appearing to read "Paul M. LeBlanc", is written over a horizontal line.

Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

Form #5

ALLOWABLE EX PARTE COMMUNICATION BRIEFING
CERTIFIED STATEMENT
(Commissioner/Commission Employee)

THIS CERTIFICATION IS TO:

- BE SIGNED AND COMPLETED BY **EACH** COMMISSIONER AND PUBLIC SERVICE COMMISSION EMPLOYEES ATTENDING THE BRIEFING, AND
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Name: <i>G. O'Neal Hamilton</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

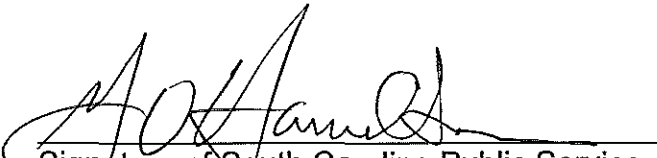
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1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
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<i>See transcript</i>

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Signature of South Carolina Public Service
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Name: <i>Butch Howard</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

By signing this Certification, I certify that:

1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
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<i>See transcript</i>

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This concludes my Certified Statement.

A handwritten signature in black ink, appearing to be "G. A. Moore", written over a horizontal line.

Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

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Name: <i>Randy Mitchell</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

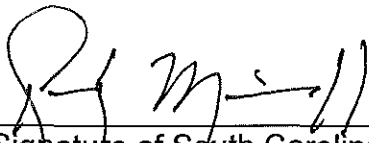
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<i>See transcript</i>

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This concludes my Certified Statement.

A handwritten signature in black ink, appearing to read "R. M. S. 11", is written over a horizontal line.

Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008

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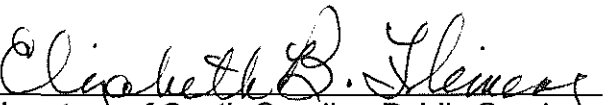
Name: <i>Elizabeth B. Fleming</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Commissioner</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:

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1. No commitment, predetermination, or prediction of any Commissioner's action as to any ultimate or penultimate issue or any Commission employee's opinion or recommendation as to any ultimate or penultimate issue in any proceeding was requested by any person or party nor any commitment, predetermination, or prediction was given by any Commissioner or Commission employee as to any Commission action or Commission employee opinion or recommendation on any ultimate or penultimate issue. [S.C. Code Ann. §58-3-260(C)(6)(a)(iii)]
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Signature of South Carolina Public Service
Commissioner or Commission Employee

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Name: <i>Jocelyn Boyd</i>	Date of Meeting: 3-28-2008
PSC Position Title: <i>Deputy Clerk</i>	Matter: Duke Energy Carolinas' Smart Grid Initiative
	Docket No.:


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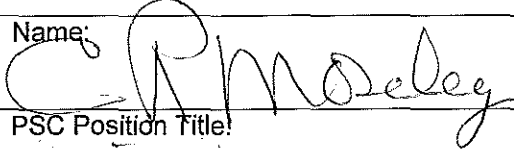
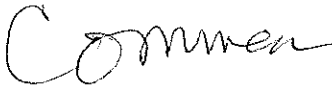
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Signature of South Carolina Public Service
Commissioner or Commission Employee

Date: 3-28-2008